



LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

ATTY. DOCKET NO.

10934-003

APPLICATION NO.

09/955,376

APPLICANT

TransactTools, Inc.

FILING DATE

09/17/2001

GROUP

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|----------------------|----|-----------------|-----------|---------|-------|----------|-------------------------------|
| JSP | AA | 6,167,432 | 26 DEC 00 | Jiang | / | / | |
| JSP | AB | 6,158,011 | 5 DEC 00 | Chen | / | / | |
| JSP | AC | 6,154,743 | 28 NOV 00 | Leung | / | / | |
| JSP | AD | 6,145,084 | 7 NOV 00 | Zuili | / | / | |
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| JSP | AG | 6,061,796 | 9 MAY 00 | Chen | / | / | |
| JSP | AH | 5,960,404 | 28 SEP 99 | Chaar | / | / | |
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| JSP | AK | 5,729,682 | 17 MAR 98 | Marquis | / | / | |
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FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|--|----|-----------------|------|---------|-------|----------|-------------|----|
| | | | | | | | YES | NO |
| | AO | | | | | | | |
| | AP | | | | | | | |
| | AQ | | | | | | | |
| | AR | | | | | | | |
| | AS | | | | | | | |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

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| not found | AT | Giles, "The Internet Access Dilemma," Network VAR 1996 | not in file wrapper | IFW |
| not found | AU | "Common Software Architecture, Functional Overview," a NYSE/SIAC product, 1998 | not in IFW | |
| not found | AV | "Participant Network Connectivity Project Introduction," Securities Industry Automation Corporation, undated | not in IFW | |

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.